



BROMLEY CIVIC CENTRE, STOCKWELL CLOSE, BROMLEY BRI 3UH

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DATE: 25 October 2022

To: Members of the  
**AUDIT AND RISK MANAGEMENT COMMITTEE**

Councillor Michael Tickner (Chairman)  
Councillor Robert Evans (Vice-Chairman)  
Councillors Jeremy Adams, Simon Fawthrop, Kira Gabbert, Julie Ireland,  
Jonathan Laidlaw and Simon Jeal

A meeting of the Audit and Risk Management Committee will be held at Bromley Civic Centre on **WEDNESDAY 2 NOVEMBER 2022 AT 7.00 PM**

TASNIM SHAWKAT  
Director of Corporate Services & Governance

*Copies of the documents referred to below can be obtained from*  
<http://cds.bromley.gov.uk/>

## A G E N D A

- 1 **APOLOGIES FOR ABSENCE AND NOTIFICATION OF SUBSTITUTE MEMBERS**
- 2 **DECLARATIONS OF INTEREST**
- 3 **CONFIRMATION OF THE MINUTES OF THE MEETING HELD ON 30TH JUNE EXCLUDING THOSE CONTAINING EXEMPT INFORMATION (Pages 5 - 14)**
- 4 **QUESTIONS TO THE AUDIT AND RISK MANAGEMENT COMMITTEE FROM THE PUBLIC OR COUNCILLORS**

In accordance with the Council's Constitution, questions that are not specific to reports on the agenda must have been received in writing 10 working days before the date of the meeting.

Questions specifically concerning reports on the agenda should be received within two working days of the publication date of the agenda. Please ensure that questions specifically regarding reports on the agenda are received by the Democratic Services Team by **5pm on 27<sup>th</sup> October 2022**

- 5 **QUESTIONS ON THE AUDIT REPORTS PUBLISHED ON THE COUNCIL WEBSITE**

**This item will only be debated if a member of the Committee requests a discussion be held, in which case please inform the Clerk 24 hours in advance indicating the aspects of the information item you wish to discuss. Questions on the briefing**

should also be sent to the Clerk at least 24 hours before the meeting.

**6 INFORMATION ITEM: BI-ANNUAL REPORT ON EXTENSIONS, EXEMPTIONS, VARIATIONS AND WAIVERS**

This item will only be debated if a member of the Committee requests a discussion be held, in which case please inform the Clerk 24 hours in advance indicating the aspects of the information item you wish to discuss. Questions on the briefing should also be sent to the Clerk at least 24 hours before the meeting

**7 MATTERS OUTSTANDING FROM THE LAST MEETING--PART 1 (Pages 15 - 18)**

**8 INTERNAL AUDIT AND FRAUD PROGRESS REPORT (Pages 19 - 48)**

**9 E&Y AUDIT BRIEFING PAPER (Pages 49 - 52)**

**10 INTERNAL AUDIT PLAN NOVEMBER 2022 - MARCH 2023 (Pages 53 - 62)**

**11 COUNTER FRAUD AND CORRUPTION POLICIES (Pages 63 - 108)**

**12 INDEPENDENT CO-OPTED MEMBERS - AUDIT AND RISK MANAGEMENT COMMITTEE (Pages 109 - 114)**

**13 RISK MANAGEMENT (Pages 115 - 142)**

**14 LOCAL GOVERNMENT ACT 1972 AS AMENDED BY THE LOCAL GOVERNMENT (ACCESS TO INFORMATION) (VARIATION) ORDER 2006 AND THE FREEDOM OF INFORMATION ACT 2000**

The Chairman to move that the Press and public be excluded during consideration of the item of business listed below as it is likely in view of the nature of the business to be transacted or the nature of the proceedings that if members of the Press and public were present there would be disclosure to them of exempt information.

**Items of Business**

**Schedule 12A Description**

**15 INTERNAL AUDIT FRAUD AND PROGRESS REPORT-PART 2-APPENDIX E (Pages 143 - 148)**

Information relating to the financial or business affairs of any particular person (including the authority holding that information)

**16 EXEMPT MINUTES OF THE MEETING HELD ON 30TH JUNE 2022 (Pages 149 - 150)**

Information relating to any action taken or to be taken in connection with the prevention, investigation or prosecution of

crime.

