

Decision Maker: CHILDREN, EDUCATION AND FAMILIES BUDGET AND PERFORMANCE MONITORING SUB- COMMITTEE

Date: Wednesday 18 July 2018

Decision Type: Non-Urgent Non-Executive Non-Key

Title: EDUCATION, CARE AND HEALTH SERVICES' RISK REGISTER – QUARTER 4, 2017/18

Contact Officer: Denise Mantell, Senior Planning and Development Officer
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Chief Officer: Ade Adetosoye, Deputy Chief Executive & Executive Director: ECHS

Ward: N/A

1. Reason for report

- 1.1 Risk Management is the identification, analysis and overall control of those risks which can impact on the Council's ability to deliver its priorities and objectives. Education, Care and Health Services' Risk Register covers those risks which impact on its ability to deliver its priorities and objectives. This report enables Children, Education and Families Budget and Performance Monitoring Sub-Committee to scrutinise those risks and the actions taken to control them in line with Audit Sub-Committee recommendations.
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2. **RECOMMENDATION(S)**

- 2.1 Members are asked to note the current Education, Care and Health Services' Risk Register and the existing controls in place to mitigate the risks.

Impact on Vulnerable Adults and Children

1. Summary of Impact:
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Corporate Policy

1. Policy Status: Not Applicable
 2. BBB Priority: Children and Young People Excellent Council Safe Bromley Healthy Bromley
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Financial

1. Cost of proposal: Not Applicable:
 2. Ongoing costs: Not Applicable:
 3. Budget head/performance centre:
 4. Total current budget for this head: £
 5. Source of funding:
-

Personnel

1. Number of staff (current and additional):
 2. If from existing staff resources, number of staff hours:
-

Legal

1. Legal Requirement: Statutory Requirement Non-Statutory - Government Guidance None:
Further Details
 2. Call-in: Not Applicable:
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Procurement

1. Summary of Procurement Implications:
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Customer Impact

1. Estimated number of users/beneficiaries (current and projected):
-

Ward Councillor Views

1. Have Ward Councillors been asked for comments? Not Applicable
2. Summary of Ward Councillors comments:

3. COMMENTARY

Background

- 3.1 Risk Management is the identification, analysis and overall control of those risks which can impact on the Council's ability to deliver its priorities and objectives. The Education, Care and Health Services Risk Register feeds into the Corporate Risk Register, via the Corporate Risk Management Group, and comprises the high level departmental risks which are underpinned by more detailed registers contained within the divisional business plans.
- 3.2 A 'check and challenge' exercise was undertaken by Zurich, the local authority's insurers, of the Council's Risk Registers during quarters 2 and 3 2017/18. This provided the Departmental Leadership Teams and Corporate Leadership Team with an independent discussion on risk and one which challenged, refreshed and validated the current risk register content.
- 3.3 The refreshed risk registers were agreed by the Corporate Leadership Team and presented to Audit Sub-Committee on 8 November 2017. Subsequently, the ECHS risk register was presented to Education, Children and Families Budget and Performance Monitoring Sub-Committee as an information briefing on 17 January 2018.
- 3.4 Audit Sub-Committee agreed that the Corporate and Departmental Risk Registers would be reviewed at their meetings twice a year and then subsequently scrutinised by the relevant PDS Committee. Internal processes require that the departmental risk registers be updated and agreed by the Departmental Leadership Team on a quarterly basis and be reviewed by the Corporate Risk Management Group.
- 3.5 In line with recommendations from Audit Sub-Committee the ECHS risk register has been updated on a quarterly basis and agreed by ECHS Departmental Leadership Team. The Risk Register 2017 Quarter 4 update was agreed in April 2018, reviewed by the Corporate Risk Management Group and formed part of the Internal Audit Progress Report which went to Audit Sub-Committee on 24 May 2018.
- 3.6 The Education, Care and Health Services Risk Register is attached as Appendix 1. Since January 2018, when this Sub-Committee last saw the risk register, an additional risk has been added, namely Risk 22 - Failure to deliver partial implementation of Health & Social Care Integration. Members' attention is drawn to those risks of relevance to the Children, Education and Families Portfolio as detailed in the table below.

Risk Reference	Risk
1	Failure to deliver ECHS Financial Strategy
3	Failure to deliver effective Children's Services
4	Recruitment and Retention
5	Failure to deliver effective Housing Needs services
5a	Temporary Accommodation
5b	Capital Grant
6	Inability to deliver an effective Public Health service
7	Business Interruption / Emergency Planning
8	Contracts and Service Level Agreements
9	School Place Planning
10	Not in Education, Employment or Training (NEET)
11	SEN Transport
12	SEN Reforms
13	School Standards
14	Youth Offending

15	Out of Borough Placements
16	Foster Carers
17	Care Leavers' Accommodation
18	Welfare Reform
20	Data Collections
22	Failure to deliver partial implementation of Health & Social Care Integration

3.7 Mitigating actions have reduced 4 high risks to significant risk and one to medium risk, 4 significant risks to medium risk and 1 medium risk to low risk.

Level of Risk	Gross Risk		Net Risk	
	No.	%	No.	%
High	9	41%	4	18%
Significant	4	18%	4	18%
Medium	8	36%	12	55%
Low	1	4%	2	9%
Total	22	100	22	100

4. IMPACT ON VULNERABLE ADULTS AND CHILDREN

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5. POLICY IMPLICATIONS

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6. FINANCIAL IMPLICATIONS

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7. PERSONNEL IMPLICATIONS

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8. LEGAL IMPLICATIONS

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9. PROCUREMENT IMPLICATIONS

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Non-Applicable Sections:	[List non-applicable sections here]
Background Documents: (Access via Contact Officer)	[Title of document and date]