

Decision Maker: EXECUTIVE, RESOURCES AND CONTRACTS POLICY
DEVELOPMENT AND SCRUTINY COMMITTEE

Date: Information Briefing – Thursday 18th November 2021

Decision Type: Non-Urgent Non-Executive Non-Key

Title: RISK MANAGEMENT

Contact Officer: Tasnim Shawkat, Director of Corporate Services –
Corporate Services Risk Register
Tel: 020 8461 7899 E-mail: tasnim.shawkat@bromley.gov.uk

Peter Turner, Director of Finance – Finance Risk Register
Tel: 020 8313 4338 E-mail: peter.turner@bromley.gov.uk

Charles Obazuaye, Director of Human Resources, Customer Services and
Public Affairs – Human Resources and Customer Services Risk Register
Tel: 020 8313 4335 E-mail: charles.obazuaye@bromley.gov.uk

Chief Officer: Director of Finance

Ward: (All Wards);

1. Reason for report

This report provides the Executive, Resources and Contracts Policy, Development and Scrutiny Committee with the current iteration of the Corporate Risk Register, together with those for the Corporate Services, Finance, and Human Resources and Customer Services departments.

2. **RECOMMENDATION(S)**

Members of the Executive, Resources and Contracts Policy, Development and Scrutiny Committee are requested to note the current iterations of the Risk Registers and direct any queries they may have to the relevant Contact Officer.

Impact on Vulnerable Adults and Children

1. Summary of Impact: There are no direct implications for Vulnerable Adults and Children arising from the attached Risk Registers, although failure of the Council to meet its commitments in any risk area could directly impact on life chances.
-

Corporate Policy

1. Policy Status: Not Applicable:
 2. BBB Priority: Excellent Council:
-

Financial

1. Cost of proposal: Not Applicable:
 2. Ongoing costs: Not Applicable:
 3. Budget head/performance centre: Not Applicable
 4. Total current budget for this head: Not Applicable
 5. Source of funding: Not Applicable
-

Personnel

1. Number of staff (current and additional): Not Applicable
 2. If from existing staff resources, number of staff hours: Not Applicable
-

Legal

1. Legal Requirement: None:
 2. Call-in: Not Applicable:
-

Procurement

1. Summary of Procurement Implications: Where applicable, the risk category is reflected as Contractual and Partnership.
-

Customer Impact

1. Estimated number of users/beneficiaries (current and projected): This report is intended primarily for the benefit of members of the Executive, Resources and Contracts Policy, Development and Scrutiny Committee.
-

Ward Councillor Views

1. Have Ward Councillors been asked for comments? Not Applicable
2. Summary of Ward Councillors comments: Not Applicable

3. COMMENTARY

- 3.1 This report provides Members of the Executive, Resources and Contracts Policy, Development and Scrutiny Committee with the most recent iterations of the Risk Registers falling within their remit. Each Risk Register has been drawn up in line with the Risk Assessment Guidance depicted in Appendix A.
- Corporate Services (Appendix B)
 - Finance (Appendix C)
 - Human Resources and Customer Services (Appendix D)
 - Corporate (Appendix E)
- 3.2 Each Director retains responsibility for the Risks and Controls within their division, with Internal Audit coordinating the Risk Management process via the Corporate Risk Management Group and Audit Sub Committee. The Corporate Risk Register identifies the Organisation's key risks to the delivery of the Corporate objectives through the Building a Better Bromley vision. Risks are elevated to the Corporate Risk Register by the Corporate Leadership Team.
- 3.3 The Corporate Risk Register, together with the Departmental Risk Registers for the areas coming under the remit of the Executive, Resources and Contracts PDS Committee, were last presented, in full, to their meeting of 23rd June 2021 and the Departmental Gross 'High' (Red) rated risks on 13th October 2021. Formal scrutiny of the Risk Registers was undertaken by the Corporate Risk Management Group on 10th September 2021 and Audit Sub Committee on 21st October 2021.
- 3.4 Since the June 2021 meeting of the Executive, Resources and Contracts PDS Committee, the Corporate Risk Register has been refreshed with the existing controls and further action required columns updated. Four salient amendments have been made, as set out in Table 1, with the Risk Rating amendment to the 'Ineffective Governance and Management of Contracts' Corporate Risk also reflected in the Corporate Services Risk Register. There have been no salient amendments to the Finance or the Human Resources and Customer Services Risk Registers.

Table 1

Corporate Risk Register	
Risk 3	Failure to deliver partial implementation of Health and Social Care Integration Reduction of Net Impact and consequent reduction of the Net Risk Rating from 'Medium' to 'Low'.
Risk 5	Ineffective Governance and Management of Contracts Reduction of the Net Likelihood and consequent reduction of the Net Risk Rating from 'Significant' to 'Medium'. This amendment has also been reflected in the Corporate Services Risk Register (Risk 12).

Risk 12	<p>Impact of COVID-19 pandemic on service delivery</p> <p>Reduction of the Gross Likelihood and consequent reduction of the Gross Risk Rating (Remains 'High'). Reduction of the Net Likelihood, increase of Net Impact and reduction of the Net Risk Rating from 'High' to 'Significant'.</p>
Risk 13	<p>Failure to adequately adapt to the impacts of Climate Change.</p> <p>Addition of Corporate Risk. Gross Risk Rating 'Significant' and Net Risk Rating 'Medium'.</p> <p>For full narrative, please see Appendix E.</p>

4. IMPACT ON VULNERABLE ADULTS AND CHILDREN

4.1 There are no direct implications for Vulnerable Adults and Children arising from the attached Risk Registers, although failure of the Council to meet its commitments in any risk area could indirectly impact on life chances.

5. POLICY IMPLICATIONS

5.1 The Council's ambition for the borough is set out in Building a Better Bromley, and the suite of Risk Registers supports delivery of those aims.

6. FINANCIAL IMPLICATIONS

6.1 The Finance department's Risk Register is attached as Appendix C. Where applicable, the risk category in all registers is reflected as 'Financial, Operational'.

7. PERSONNEL IMPLICATIONS

7.1 The Human Resources department's Risk Register is attached as Appendix D. Where applicable, the risk category in all risk registers is reflected as 'Personnel, Operational'.

8. LEGAL IMPLICATIONS

8.1 Where applicable, the risk category in all registers is reflected as 'Legal, Operational'.

9. PROCUREMENT IMPLICATIONS

9.1 Where applicable, the risk category in all registers is reflected as 'Contractual and Partnership'.

Non-Applicable Sections:	None
Background Documents: (Access via Contact Officer)	None