

Decision Maker: **AUDIT AND RISK MANAGEMENT COMMITTEE**

Date: **Thursday 30 June 2022**

Decision Type: Non-Urgent Non-Executive Non-Key

Title: **RISK MANAGEMENT**

Contact Officer: Francesca Chivers, Head of Audit and Assurance
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Chief Officer: Director of Finance

Ward: (All Wards);

1. Reason for decision/report and options

This report provides Members of the Audit and Risk Management Committee with the most recent iterations of the Corporate and Departmental risk registers for review and comment.

2. **RECOMMENDATION**

Members are asked to note the Risk Registers and comment on any matters arising.

Impact on Vulnerable Adults and Children

1. Summary of Impact: The Adults Care and Health Risk Register is attached as Appendix C. The Children, Education and Families Risk Register is attached as Appendix D.
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Transformation Policy

1. Policy Status: Not Applicable:
 2. Making Bromley Even Better Priority
To manage our resources well, providing value for money, and efficient and effective services for Bromley's residents.
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Financial

1. Cost of proposal: Not Applicable
 2. Ongoing costs: Not Applicable
 3. Budget head/performance centre: Not Applicable
 4. Total current budget for this head: £ Not Applicable
 5. Source of funding: Not Applicable
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Personnel

1. Number of staff (current and additional): Not Applicable
 2. If from existing staff resources, number of staff hours: Not Applicable
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Legal

1. Legal Requirement: None:
 2. Call-in: Not Applicable:
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Procurement

1. Summary of Procurement Implications: Where applicable, the risk category is reflected as Contractual and Partnership.
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Property

1. Summary of Property Implications: Where applicable, the risk category is reflected as 'Physical', which includes hazards/risks associated with buildings.
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Carbon Reduction and Social Value

1. Summary of Carbon Reduction/Sustainability Implications: Where applicable, the risk category is reflected as Environmental.
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Customer Impact

1. Estimated number of users or customers (current and projected): This report is intended primarily for the benefit of members of the Audit and Risk Management Committee.
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Ward Councillor Views

1. Have Ward Councillors been asked for comments? Not Applicable
2. Summary of Ward Councillors comments: Not Applicable

3. COMMENTARY

- 3.1 Audit Sub-Committee previously agreed that risk registers would be reviewed, updated and reported six monthly to Audit and Risk Management Committee. The full risk registers are subsequently presented to the respective Policy, Development and Scrutiny (PDS) Committees. Risks marked as 'High' are also presented to every other meeting of the relevant PDS Committee for noting.
- 3.2 Whilst Internal Audit coordinates the risk management process and reports to Audit and Risk Management Committee, each Director retains responsibility for the risks and controls within their division. Similarly, the Corporate Risk Register is owned by Corporate Leadership Team as a collective and each risk has an accountable owner.
- 3.3 The Corporate Risk Management Group (CRMG) meets three times per year to scrutinise the risk registers and discuss cross-cutting risk areas. CRMG is made up of representatives from each division together with officers in key risk-related roles, such as Health and Safety, Business Continuity and Insurance. Membership of the CRMG was refreshed early in 2022 to ensure representation at an appropriately senior level.
- 3.4 The CRMG met on 23rd May 2022 undertaking its usual programme of scrutiny and the current risk registers are attached as **Appendices B to J**. The current risk assessment guidance is attached at **Appendix A**.
- 3.5 The risk registers were last presented to Audit Sub-Committee in October 2021. Key changes to the Corporate Risk Register (**Appendix B**) since then are as follows:
- Cause, effects and controls for all risks have been reviewed and revised where appropriate
 - One new 'High' risk has been added - Cyber Attack and failure to comply with GDPR (Risk 6)
 - One new 'Significant' risk has been added - Health and Safety – Fire and First Aid (Risk 14)
 - One risk has been removed - Impact of Covid-19 on service delivery. This is because the identified causes and effects were no longer likely or evident, with the exception of the financial impact which is incorporated elsewhere on the Corporate Risk Register.
- 3.6 As discussed within the Internal Audit Annual Report, presented as a separate agenda item, the Council's insurance brokers have recently undertaken a review of the Council's risk register structure and format, to ensure that they are fit for purpose. Whilst there is no need to repeat the findings again here, Members are asked to note that the findings will inform a future review of the overarching Risk Framework. Once complete, the revised Risk Framework will be presented to this Committee for approval.

4. IMPACT ON VULNERABLE ADULTS AND CHILDREN

- 4.1 The Adults Care and Health Risk Register is attached as Appendix C. The Children, Education and Families Risk Register is attached as Appendix D.

5. TRANSFORMATION/POLICY IMPLICATIONS

- 5.1 Where applicable, the risk category in all registers is reflected as 'Financial, Operational'.

6. FINANCIAL IMPLICATIONS

6.1 The Finance Risk Register is attached as Appendix G. Where applicable, the risk category in all registers is reflected as 'Financial, Operational'.

7. PERSONNEL IMPLICATIONS

7.1 The Human Resources' Risk Register is attached as Appendix F. Where applicable, the risk category in all risk registers is reflected as 'Personnel, Operational'.

8. LEGAL IMPLICATIONS

8.1 Where applicable, the risk category in all registers is reflected as 'Legal, Operational'.

9. PROCUREMENT IMPLICATIONS

9.1 Where applicable, the risk category in all registers is reflected as 'Contractual and Partnership'.

10. PROPERTY IMPLICATIONS

10.1 Where applicable, the risk category is reflected as 'Physical, Operational', which includes hazards/risks associated with buildings.

11. CARBON REDUCTION/SOCIAL VALUE IMPLICATIONS

11.1 Where applicable, the risk category is reflected as Environmental.

Non-Applicable Headings:	Customer Impact Ward Councillor Views
Background Documents: (Access via Contact Officer)	None