

**Decision Maker:** **AUDIT AND RISK MANAGEMENT COMMITTEE**

**Date:** **Wednesday 2 November 2022**

**Decision Type:** Non-Urgent                      Non-Executive                      Non-Key

**Title:** **RISK MANAGEMENT**

**Contact Officer:** Francesca Chivers, Head of Audit and Assurance  
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**Chief Officer:** Director of Finance

**Ward:** (All Wards);

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1. Reason for decision/report and options

This report provides Members of the Audit and Risk Management Committee with the most recent iteration of the Corporate Risk Register for review and comment. It also provides summary heat maps for Departmental Risk Registers.

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2. **RECOMMENDATIONS**

**Members are asked to note the Risk Registers and comment on any matters arising.**

**Members are asked to comment on the new 'heat map' format for the Departmental risks and advise if they require any changes for the next iteration.**

### Impact on Vulnerable Adults and Children

1. Summary of Impact: The Adults Care and Health Risk heat map is attached as Appendix C. The Children, Education and Families Risk Register is attached as Appendix D.
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### Transformation Policy

1. Policy Status: Not Applicable:
  2. Making Bromley Even Better Priority  
To manage our resources well, providing value for money, and efficient and effective services for Bromley's residents.
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### Financial

1. Cost of proposal: Not Applicable
  2. Ongoing costs: Not Applicable
  3. Budget head/performance centre: Not Applicable
  4. Total current budget for this head: £ Not Applicable
  5. Source of funding: Not Applicable
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### Personnel

1. Number of staff (current and additional): Not Applicable
  2. If from existing staff resources, number of staff hours: Not Applicable
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### Legal

1. Legal Requirement: None:
  2. Call-in: Not Applicable:
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### Procurement

1. Summary of Procurement Implications: Where applicable, the risk category is reflected as Contractual and Partnership.
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### Property

1. Summary of Property Implications: Where applicable, the risk category is reflected as 'Physical', which includes hazards/risks associated with buildings.
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### Carbon Reduction and Social Value

1. Summary of Carbon Reduction/Sustainability Implications: Where applicable, the risk category is reflected as Environmental.
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### Customer Impact

1. Estimated number of users or customers (current and projected): This report is intended primarily for the benefit of members of the Audit and Risk Management Committee.
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### Ward Councillor Views

1. Have Ward Councillors been asked for comments? Not Applicable
2. Summary of Ward Councillors comments: Not Applicable

### 3. COMMENTARY

- 3.1 This report forms part of the standard six-monthly risk reporting to Audit and Risk Management Committee. In previous cycles, all risk registers, including Departmental Risk Registers, have been presented in full. At the Audit and Risk Management Committee on 30<sup>th</sup> June 2022, however, Members requested to instead receive the departmental risk registers as heat maps, on a trial basis. Departmental Risk Registers in full will subsequently be presented to the respective Policy, Development and Scrutiny (PDS) Committees.
- 3.2 Whilst Internal Audit coordinates the risk management process and reports to Audit and Risk Management Committee, each Director retains responsibility for the risks and controls within their division. Similarly, the Corporate Risk Register is owned by Corporate Leadership Team as a collective and each risk has an accountable owner.
- 3.3 The Corporate Risk Management Group (CRMG) meets three times per year to scrutinise the risk registers and discuss cross-cutting risk areas. CRMG is made up of representatives from each division together with officers in key risk-related roles, such as Health and Safety, Business Continuity and Insurance. Membership of the CRMG was refreshed early in 2022 to ensure representation at an appropriately senior level.
- 3.4 The CRMG met on 23<sup>rd</sup> September 2022 undertaking its usual programme of scrutiny. The current Corporate Risk Register is attached as **Appendix B** and the departmental heat maps are **Appendices C to J**. The risk assessment guidance is attached at **Appendix A**.
- 3.5 The risk registers were last presented to Audit and Risk Management Committee in June 2022. Key changes to the Corporate Risk Register (**Appendix B**) since then are as follows:
- Two new 'High' risks, Homes for Ukraine and Capital Financing Shortfall, have been added
  - Cause, effects and controls for all risks have been reviewed and revised where appropriate
- 3.6 As discussed at the previous Audit and Risk Management Committee, departmental risks are presented as heat maps, rather than as full risk registers. Each heat map shows the **net** score for each risk (after controls have been applied). The individual risks and their gross and net scores are listed underneath for reference. Members are invited to comment on the new style of presentation and advise if they require any additional information or changes to the format for the next iteration.

### 4. IMPACT ON VULNERABLE ADULTS AND CHILDREN

- 4.1 The Adults Care and Health risk heat map is attached as Appendix C. The Children, Education and Families risk heat is attached as Appendix D.

### 5. TRANSFORMATION/POLICY IMPLICATIONS

- 5.1 Where applicable, the risk category is reflected as 'Financial, Operational'.

### 6. FINANCIAL IMPLICATIONS

- 6.1 The Finance risk heat map is attached as Appendix G. Where applicable, the risk category in all registers is reflected as 'Financial, Operational'.

### 7. PERSONNEL IMPLICATIONS

- 7.1 The Human Resources risk heat map is attached as Appendix I. Where applicable, the risk category in all risk registers is reflected as 'Personnel, Operational'.

**8. LEGAL IMPLICATIONS**

8.1 Where applicable, the risk category is reflected as 'Legal, Operational'.

**9. PROCUREMENT IMPLICATIONS**

9.1 Where applicable, the risk category is reflected as 'Contractual and Partnership'.

**10. PROPERTY IMPLICATIONS**

10.1 Where applicable, the risk category is reflected as 'Physical, Operational', which includes hazards/risks associated with buildings.

**11. CARBON REDUCTION/SOCIAL VALUE IMPLICATIONS**

11.1 Where applicable, the risk category is reflected as Environmental.

<b>Non-Applicable Headings:</b>	Customer Impact Ward Councillor Views
Background Documents: (Access via Contact Officer)	None