

**Decision Maker:** EXECUTIVE, RESOURCES AND CONTRACTS POLICY  
DEVELOPMENT AND SCRUTINY COMMITTEE

**Date:** Information Briefing – Wednesday 23<sup>rd</sup> November 2022

**Decision Type:** Non-Urgent                      Non-Executive                      Non-Key

**Title:** RISK MANAGEMENT

**Contact Officer:** Tasnim Shawkat, Director of Corporate Services and Governance –  
Corporate Services Risk Register  
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**Chief Officer:** Director of Finance

**Ward:** (All Wards);

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1. Reason for report

This report provides the Executive, Resources and Contracts Policy, Development and Scrutiny Committee with the current iteration of the Corporate Risk Register, together with those for the Corporate Services, Finance, and Human Resources and Customer Services departments.

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2. **RECOMMENDATION(S)**

**Members of the Executive, Resources and Contracts Policy, Development and Scrutiny Committee are requested to note the current iterations of the Risk Registers and direct any queries they may have to the relevant Contact Officer.**

### Impact on Vulnerable Adults and Children

1. Summary of Impact: There are no direct implications for Vulnerable Adults and Children arising from the attached Risk Register extracts, although failure of the Council to meet its commitments in any risk area could directly impact on life chances
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### Transformation Policy

1. Policy Status: Not Applicable:
  2. Making Bromley Even Better Priority  
To manage our resources well, providing value for money, and efficient and effective services for Bromley's residents.
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### Financial

1. Cost of proposal: Not Applicable
  2. Ongoing costs: Not Applicable
  3. Budget head/performance centre: Not Applicable
  4. Total current budget for this head: £ Not Applicable
  5. Source of funding: Not Applicable
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### Personnel

1. Number of staff (current and additional): Not Applicable
  2. If from existing staff resources, number of staff hours: Not Applicable
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### Legal

1. Legal Requirement: None:
  2. Call-in: Not Applicable:
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### Procurement

1. Summary of Procurement Implications: Where applicable, the risk category is reflected as Contractual and Partnership.
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### Property

1. Summary of Property Implications: Where applicable, the risk category is reflected as 'Physical', which includes hazards/risks associated with buildings.
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### Carbon Reduction and Social Value

1. Summary of Carbon Reduction/Sustainability Implications: Where applicable, the risk category is reflected as Environmental.
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### Customer Impact

1. Estimated number of users or customers (current and projected): This report is intended primarily for the benefit of members of the Executive, Resources and Contracts Policy, Development and Scrutiny Committee.
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### Ward Councillor Views

1. Have Ward Councillors been asked for comments? Not Applicable
2. Summary of Ward Councillors comments: Not Applicable

### 3. COMMENTARY

- 3.1 This report provides Members of the Executive, Resources and Contracts Policy, Development and Scrutiny Committee with the most recent iterations of the Risk Registers falling within their remit. Each Risk Register has been drawn up in line with the Risk Assessment Guidance depicted in Appendix A.
- Corporate Services (Appendix B)
  - Finance (Appendix C)
  - Human Resources and Customer Services (Appendix D)
  - Corporate (Appendix E)
- 3.2 Each Director retains responsibility for the Risks and Controls within their division, with Internal Audit coordinating the Risk Management process via the Corporate Risk Management Group and the Audit and Risk Management Committee. The Corporate Risk Register identifies the organisation's key risks to the delivery of the Corporate objectives through the 'Making Bromley Even Better' strategy. Risks are elevated to the Corporate Risk Register by the Corporate Leadership Team.
- 3.3 In line with the risk reporting cycle, formal scrutiny of the Registers was undertaken by the Corporate Risk Management Group on 23<sup>rd</sup> September and the Audit and Risk Management Committee on 2<sup>nd</sup> November 2022. At the request of the Committee, the report presented the Departmental Net Risk position by way of Heat Maps, and those falling under the remit of the Executive, Resources and Contracts Committee are attached as Appendices F to H. Risk Registers were last presented in full to the Executive, Resources and Contracts Committee on 28<sup>th</sup> July and the Red Risks extract on 18<sup>th</sup> October 2022. Since these reports to the Executive, Resources and Contracts Committee, the 'Further Action Required' for the Corporate Risk 'Failure to deliver partial implementation of Health and Social Care Integration' has been refreshed. No salient amendments have been made to the departmental risk registers.

**Table 1**

| <b>Corporate Risk Register</b><br>(For full risk narrative, please see Appendix E) |  |
|--|--|
| <b>Risk 2</b>  | <b>Failure to deliver partial implementation of Health and Social Care Integration</b><br><br>(Gross Risk Rating 'Medium', Net Risk Rating 'Low')<br><br>Refresh of the Further Action required to reflect 'ICS system now in place and new governance arrangements bedding in. Previous good working relationships are being built on when challenges to local place priorities arise from SEL priority setting'. |

#### **4. IMPACT ON VULNERABLE ADULTS AND CHILDREN**

4.1 There are no direct implications for Vulnerable Adults and Children arising from the attached Risk Register extracts although failure of the Council to meet its commitments in any risk area could indirectly impact on life chances.

#### **5. TRANSFORMATION/POLICY IMPLICATIONS**

5.1 Where applicable, the risk category in all registers is reflected as 'Financial, Operational'.

#### **6. FINANCIAL IMPLICATIONS**

6.1 The Finance department's Gross Red Risks are attached as Appendix C. Where applicable, the risk category in all registers is reflected as 'Financial, Operational'.

#### **7. PERSONNEL IMPLICATIONS**

7.1 The Human Resources' department's Gross Red Risk is attached as Appendix D. Where applicable, the risk category in all risk registers is reflected as 'Personnel, Operational'.

#### **8. LEGAL IMPLICATIONS**

8.1 Where applicable, the risk category in all registers is reflected as 'Legal, Operational'.

#### **9. PROCUREMENT IMPLICATIONS**

9.1 Where applicable, the risk category in all registers is reflected as 'Contractual and Partnership'.

#### **10. PROPERTY IMPLICATIONS**

10.1 Where applicable, the risk category is reflected as 'Physical, Operational', which includes hazards/risks associated with buildings.

#### **11. CARBON REDUCTION/SOCIAL VALUE IMPLICATIONS**

11.1 Where applicable, the risk category is reflected as Environmental.

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| <b>Non-Applicable Headings:</b>                       | Customer Impact<br>Ward Councillor Views |
| Background Documents:<br>(Access via Contact Officer) | None                                     |